



## Agency Information Collection Activities: Proposed Collection Renewal; Comment Request

[OMB No. 3064-0153]

**AGENCY:** Federal Deposit Insurance Corporation (FDIC).

**ACTION:** Notice and request for comment.

**SUMMARY:** The FDIC, as part of its obligations under the Paperwork Reduction Act of 1995, invites the general public and other Federal agencies to take this opportunity to comment on the renewal of the existing information collection described below (OMB Control No. 3064-0153).

**DATES:** Comments must be submitted on or before [INSERT DATE 60 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

**ADDRESSES:** Interested parties are invited to submit written comments to the FDIC by any of the following methods:

- *Agency Website:* <https://www.fdic.gov/resources/regulations/federal-register-publications/>.
- *Email:* [comments@fdic.gov](mailto:comments@fdic.gov). Include the name and number of the collection in the subject line of the message.
- *Mail:* Jennifer Jones (202-898-6768), Regulatory Counsel, MB-3078, Federal Deposit Insurance Corporation, 550 17th Street NW, Washington, DC 20429.
- *Hand Delivery:* Comments may be hand-delivered to the guard station at the rear of the 17th Street NW building (located on F Street NW), on business days between 7:00 a.m. and 5:00 p.m.

All comments should refer to the relevant OMB control number. A copy of the comments may also be submitted to the OMB desk officer for the FDIC: Office of

Information and Regulatory Affairs, Office of Management and Budget, New Executive Office Building, Washington, DC 20503.

**FOR FURTHER INFORMATION CONTACT:** Jennifer Jones, Regulatory Counsel, 202-898-6768, [jennjones@fdic.gov](mailto:jennjones@fdic.gov), MB-3078, Federal Deposit Insurance Corporation, 550 17th Street NW, Washington, DC 20429.

**SUPPLEMENTARY INFORMATION:**

Proposal to renew the following currently approved collection of information:

1. Title: Regulatory Capital Rules

OMB Number: 3064-0153

Forms: None

Affected Public: Insured state nonmember banks and state savings associations.

Burden Estimate:

Estimated Summary of Annual Burden					
<b>BASEL III Advanced Approaches: RECORDKEEPING, DISCLOSURE, and REPORTING</b>	<b>Type of Burden</b>	<b>Estimated Number of Respondents</b>	<b>Estimated Time per Response</b>	<b>Frequency of Response</b>	<b>Total Annual Estimated Burden</b>
Implementation plan -- Section .121(b): Ongoing	Recordkeeping	1	330.00	On Occasion	330
Documentation of advanced systems - - Section .122(j): Ongoing	Recordkeeping	1	19.00	On Occasion	19
(CCR) -- Section .132(d)(3)(vi): One-time	Recordkeeping	1	80.00	On Occasion	80
(CCR) -- Section .132(d)(3)(viii): One-time	Recordkeeping	1	80.00	On Occasion	80
(CCR) -- Section .132(d)(3)(viii) Ongoing	Recordkeeping	1	10.00	Quarterly	40
(CCR) -- Section .132(d)(3)(ix): One-time	Recordkeeping	1	40.00	On Occasion	40
(CCR) -- Section .132(d)(3)(ix): Ongoing	Recordkeeping	1	40.00	On Occasion	40
(CCR) -- Section .132(d)(3)(x): One- time	Recordkeeping	1	20.00	On Occasion	20
(CCR) -- Section .132(d)(3)(xi): One-time	Recordkeeping	1	40.00	On Occasion	40
(CCR) -- Section .132(d)(3)(xi): Ongoing	Recordkeeping	1	40.00	On Occasion	40
(OC) -- Sections .141(b)(3), .141(c)(1), .141(c)(2)(i)-(ii) One-time	Recordkeeping	1	39.00	On Occasion	39
(OC) -- Section .141(c)(2)(i)-(ii): Ongoing	Recordkeeping	1	10.00	Quarterly	40
(CCR) -- Section .132(b)(2)(iii)(A): One-time	Reporting	1	80.00	On Occasion	80
(CCR) -- Section .132(b)(2)(iii)(A): Ongoing	Reporting	1	16.00	On Occasion	16
(CCR) -- Section .132(d)(2)(iv): One-time	Reporting	1	80.00	On Occasion	80
(CCR) -- Section .132(d)(2)(iv): Ongoing	Reporting	1	40.00	On Occasion	40
Section .153(b): One-time	Reporting	1	1.00	On Occasion	1

Supervisory approvals – Sections _.123, _.124, .132(b)(3), .132(d)(1), _.132(d)(1)(iii) Ongoing	Recordkeeping	1	55.77	On Occasion	56
Sections _.142 and _.172 Ongoing	Disclosure	1	5.78	On Occasion	6
(CCB and CCYB) -- Section _.173, Table 4 (Securitization) -- Section _.173, Table 9 (IRR) -- Section_.173, Table 12 Ongoing	Disclosure	1	25.00	Quarterly	100
(CCB and CCYB) -- Section _.173, Table 4 (Securitization) -- Section _.173, Table 9 (IRR) -- Section _.173, Table 12 One-time	Disclosure	1	200.00	On Occasion	200
(Capital Structure) -- Section _.173, Table 2: Ongoing	Disclosure	1	2.00	Quarterly	8
(Capital Structure) -- Section _.173, Table 2: One-time	Disclosure	1	16.00	On Occasion	16
(Capital Adequacy) -- Section _.173, Table 3: Ongoing	Disclosure	1	2.00	Quarterly	8
(Capital Adequacy) -- Section _.173, Table 3: One-time	Disclosure	1	16.00	On Occasion	16
(CR) -- Section _.173, Table 5: Ongoing	Disclosure	1	12.00	Quarterly	48
(CR) -- Section _.173, Table 5: One- time	Disclosure	1	96.00	On Occasion	96
(CR) -- Section _.173, Table 13: Ongoing	Disclosure	1	5.00	Quarterly	20
Section .124(a): Ongoing	Disclosure	1	0.50	Quarterly	2
SUBTOTAL: One-time Recordkeeping, Reporting, and Disclosure					788
SUBTOTAL: Ongoing Recordkeeping, Disclosure, and Reporting					813
TOTAL RECORDKEEPING, DISCLOSURE, and REPORTING					1,601
<b>Minimum Regulatory Capital Ratios: RECORDKEEPING</b>	<b>Type of Burden</b>	<b>Estimated Number of Respondents</b>	<b>Estimated Time per Response</b>	<b>Frequency of Response</b>	<b>Total Annual Estimated Burden</b>
(CCR Operational Requirements) -- Sections _.3(d) and .22(h)(2)(iii)(A): Ongoing	Recordkeeping	3,038	16.00	On Occasion	48,608
SUBTOTAL: One-time Recordkeeping					0
SUBTOTAL: Ongoing Recordkeeping					48,608
TOTAL RECORDKEEPING					48,608
<b>Standardized Approach:RECORDKEEPING, REPORTING, and DISCLOSURE</b>	<b>Type of Burden</b>	<b>Estimated Number of Respondents</b>	<b>Estimated Time per Response</b>	<b>Frequency of Response</b>	<b>Total Annual Estimated Burden</b>
(QCCP) -- Section _.35(b)(3)(i)(A): One-time	Recordkeeping	1	2.00	On Occasion	2
(QCCP) -- Section _.35(b)(3)(i)(A): Ongoing	Recordkeeping	3,038	2.00	On Occasion	6,076
(CT) -- Section _.37(c)(4)(i)(E): One- time	Recordkeeping	1	80.00	On Occasion	80
(CT) -- Section _.37(c)(4)(i)(E): Ongoing	Recordkeeping	3,038	16.00	On Occasion	48,608
(SE) -- Sections _.41(b)(3) and _.41(c)(2)(i) One-time	Recordkeeping	1	40.00	On Occasion	40
(SE) -- Section _.41(c)(2)(ii): Ongoing	Recordkeeping	3,038	2.00	On Occasion	6,076
(CT) -- Section _.37(c)(4)(i)(E): Ongoing	Reporting	1	1.00	On Occasion	1

(S.E.) -- Section .42(e)(2) (C.R.) Sections .62(a),(b), & (c) (Q&Q) Sections .63(a) & (b) One-time	Disclosure	1	226.25	On Occasion	226
(S.E.) -- Section .42(e)(2) (C.R.) Sections .62(a),(b), & (c) (Q&Q) Sections .63(a) & (b) and .63 Tables: Ongoing	Disclosure	1	131.25	Quarterly	525
SUBTOTAL: One-time Recordkeeping, Reporting, and Disclosure					348
SUBTOTAL: Ongoing Recordkeeping, Reporting, and Disclosure					61,286
TOTAL RECORDKEEPING, REPORTING, and DISCLOSURE					61,634
Total One-Time Burden Hours					1,136
Total Ongoing Burden Hours					110,707
TOTAL BURDEN HOURS					111,843

General Description of Collection: This collection comprises the recordkeeping, reporting, and disclosure requirements associated with minimum capital requirements and overall capital adequacy standards for insured state nonmember banks, state savings associations, and certain subsidiaries of those entities. The data is used by the FDIC to evaluate capital before approving various applications by insured depository institutions, to evaluate capital as an essential component in determining safety and soundness, and to determine whether an institution is subject to prompt corrective action provisions.

There is no change in the method or substance of the collection. The 8,413-hour decrease in burden hours is a result of economic fluctuation, a decrease in the number of entities subject to the information collection, and efforts to align with the other banking agencies' related information collections.

#### Request for Comment

Comments are invited on: (a) Whether the collection of information is necessary for the proper performance of the FDIC's functions, including whether the information has

practical utility; (b) the accuracy of the estimates of the burden of the information collection, including the validity of the methodology and assumptions used; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. All comments will become a matter of public record.

Federal Deposit Insurance Corporation.

Dated at Washington, DC, on March 27, 2023.

**James P. Sheesley,**

*Assistant Executive Secretary.*

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